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| INTERNATIONAL APPLICATION NO.<br><br>PCT/JP00/03159 | INTERNATIONAL FILING DATE<br><br>17 May 2000 | PRIORITY DATE CLAIMED<br><br>17 May 1999 |
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TITLE OF INVENTION  
  
**DOUBLE SIDE POLISHING METHOD AND APPARATUS**

APPLICANT(S) FOR DO/EO/US  
**Akira HORIGUCHI, Ken ISOBE, Tsuneo TAKEDA, Tomio FUKUSHIMA, Kiyohide MURATA, Tsuneo TAKEDA, Yoshiaki UZU, Hiroshi MATSUMOTO**

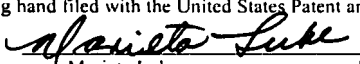
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☒ The US has been elected by the expiration of 19 months from the priority date (PCT Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☒ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application under PCT Article 19 (35 U.S.C. 371(c)(2)).
  - a. ☒ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)).
  - a. ☒ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☒ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☒ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

- Items 11. to 16. below concern document(s) or information included:
11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
  12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
  13. ☒ A **FIRST** preliminary amendment.
  14. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
  15. ☐ A substitute specification.
  16. ☐ A change of power of attorney and/or address letter.
  17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.
  18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
  19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
  20. ☒ Other items or information: 1. Not. Concerning the Filing of Amendments of the Claims 2. Not. of Receipt of Record copy 3. Intl' Search Report 4. Return receipt postcard.

**CERTIFICATE OF HAND DELIVERY**

I hereby certify that this correspondence is being hand filed with the United States Patent and Trademark Office in Washington, D.C. on January 11, 2001.

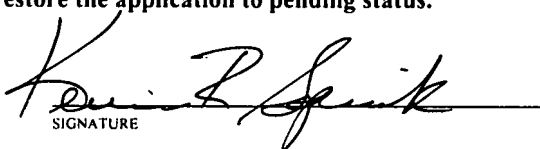
  
 (Marieta Luke)

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| U.S. APPLICATION NO. (if known, see 37 CFR 1.51) <b>09/743502</b> assigned<br>INTERNATIONAL APPLICATION NO. PCT/JP00/03759 |  | J607 Re PCT/PTO<br>11 JAN 2001<br>ATTORNEY'S LOCKET<br>NUMBER: 474082001100 |  |
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| 21. <input checked="" type="checkbox"/> The following fees are submitted:<br><b>BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)):</b><br>Neither international preliminary examination fee (37 CFR 1.482)<br>nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO<br>and International Search Report not prepared by the EPO or JPO ..... \$1,000.00<br>International preliminary examination fee (37 CFR 1.482) not paid to<br>USPTO but International Search Report prepared by the EPO or JPO ..... \$860.00<br>International preliminary examination fee (37 CFR 1.482) not paid to USPTO<br>but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$710.00<br>International preliminary examination fee (37 CFR 1.482) paid to USPTO<br>but all claims did not satisfy provision of PCT Article 33(1)-(4) ..... \$690.00<br>International preliminary examination fee (37 CFR 1.482) paid to USPTO<br>and all claims satisfied provisions of PCT Article 33(1)-(4) ..... \$100.00 |              |              |                            | <b>CALCULATIONS<br/>PTO USE ONLY</b> |  |
| <b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b> \$860.00   |              |              |                            |                                      |  |
| Surcharge of <b>\$130.00</b> for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from<br>the earliest claimed priority date (37 CFR 1.492(e)).  |              |              |                            |                                      |  |
| CLAIMS   | NUMBER FILED | NUMBER EXTRA | RATE                       |                                      |  |
| Total claims   | 20 - 20 =    | 0            | x \$18.00                  |                                      |  |
| Independent claims   | 4 - 3 =      | 1            | x \$80.00                  |                                      |  |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable)  |              |              | + \$270.00                 |                                      |  |
| <b>TOTAL OF ABOVE CALCULATIONS =</b>   |              |              | \$940.00                   |                                      |  |
| <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced<br>by 1/2.  |              |              | \$0.00                     |                                      |  |
| <b>SUBTOTAL =</b>  |              |              | \$940.00                   |                                      |  |
| Processing fee of <b>\$130.00</b> for furnishing the English translation later than<br><input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).   |              |              | \$0.00                     |                                      |  |
| <b>TOTAL NATIONAL FEE =</b>  |              |              | \$940.00                   |                                      |  |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be<br>accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). <b>\$40.00 per property</b>   |              |              | \$0.00                     |                                      |  |
| <b>TOTAL FEES ENCLOSED =</b>   |              |              | \$940.00                   |                                      |  |
|  |              |              | Amount to be refunded: \$0 |                                      |  |
|  |              |              | charged: \$0               |                                      |  |

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| a. <input checked="" type="checkbox"/> A check in the amount of \$940.00 to cover the above fees is enclosed.<br>b. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees that may be required, or credit any overpayment to<br><u>Deposit Account No. 03-1952.</u> | <p style="text-align: center;"> <br/>           SIGNATURE         </p> <p style="text-align: center;">             Kevin R. Spivak<br/>             Registration No. 43,148           </p> |
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**NOTE:** Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

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